

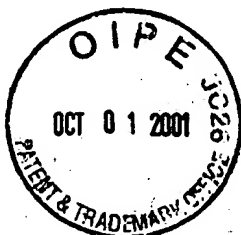


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,449	07/25/2001	Youichi Tei	M1971-97

MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550



CONFIRMATION NO. 4449

FORMALITIES LETTER



OC000000006502681

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Adjustment date: 12/18/2001 SDIRETA1
10/04/2001 SMINASE1 00000002 134550 09912449
01 FC:101 740.00 OP
02 FC:105 100.00 OP
30.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

12/18/2001 SDIRETA1 00000016 09912449

01 FC:101 710.00 OP
02 FC:105 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/04/2001 SMINASE1 00000002 134550 09912449
01 FC:101 740.00 OP
02 FC:105 100.00 OP
30.00 CR

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,449	07/25/2001	1773	0.00	M1971-97	1	20	1

MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550



CONFIRMATION NO. 4449

FILING RECEIPT



OC000000006502680

Date Mailed: 08/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- s/B Youichi
Youichi Tei, Residence Not Provided;
Syoji Sakaguchi, Residence Not Provided;
Katsunori Suzuki, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-224644 07/25/2000

If Required, Foreign Filing License Granted 08/30/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

→ s/B magnetic
Method of drying thermoplastic norbornene resin, the substrate for magnetic recording media using the thermoplastic norbornene resin, the magnetic recording medium using the substrate, and the method of manufacturing the magnetic recording medium

Preliminary Class



Receipt/#
#3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Youich TEI et al.
Serial No. : 09/912,449
File Date : July 25, 2001
Title : METHOD OF DRYING THERMOPLASTIC NORBORNENE RESIN,
THE SUBSTRATE FOR MAGNETIC RECORDING MEDIA USING
THE THERMOPLASTIC NORBORNENE RESIN, THE MAGNETIC
RECORDING MEDIUM USING THE SUBSTRATE, AND
THE METHOD OF MANUFACTURING THE MAGNETIC
RECORDING MEDIUM
Art Unit : 1773 Examiner:
Docket No. : M1971-97

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : September 25, 2001

By : Inga Hildreth

Signature : *Inga Hildreth*

Hon. Assistant Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated August 31, 2001, enclosed herewith please find the following:

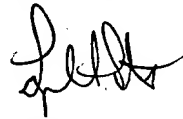
- * Declaration and Power of Attorney;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$840 to cover the large entity filing fee (\$710) and late filed Declaration surcharge (\$130).

In addition, enclosed is a copy of the filing receipt received on September 6, 2001.
Please correct the first inventors name to read **Youich**, and also correct in the Title, 2nd line the word "magenetic" to "**magnetic**".

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith
Reg. No. 44,342
Agent for Applicant

MORRISON LAW FIRM
145 North Fifth Avenue
Mount Vernon, New York 10550
(914) 667-6755